

# **Little Traverse Bay Band of Odawa Indians** **Gaming and Regulatory Commission** **Quarterly Report Information**

**Commissioners:**

David Dominic - Chairman  
 Melvin Kiogima - Vice-Chairman  
 Cathy Bradley - Secretary/Treasurer  
 Grace Zerbe - Commissioner  
 Terri Thomasma - Commissioner

**Meetings Conducted**

Regular - 9 (included 1 work session & 1mtg.w/Chair)  
 Hearings - 1 (conducted at same time as regular mtgs.)  
 Trainings - 3 (Regulatory Hearing Training, NIGA Certification Training)

4th. Quarter (October, November & December 2016)

**Licensing Information:**

<b>Odawa Casino</b>	<b>July</b>	<b>August</b>	<b>September</b>	<b>Totals</b>
<b>New Hires</b>	17	14	17	48
<b>Terminations</b>	19	19	9	47
<b>Renewals</b>	35	12	24	71
<b>LTBB</b>	154	153	152	152
<b>Other Tribes</b>	54	56	58	58
<b>Non-Natives</b>	343	337	344	344
<b>Total Employed</b>	551	546	554	554
<b>Vendors Revenue</b>	7 \$7,500	0 N/A	1 \$500	8 \$8,000
<b>License Fees Total Revenue</b>	\$3,785	\$2,430	\$3,115	\$9,330

The Licensing Department Year End Report for 2016 - licensed 241 new employees, processed 453 Renewals for a total of 694 investigations. Terminations involved 229 employees which are processed but no longer recorded with the National Indian Gaming Commission (NIGA). As of December 31, 2016 there were a total of 554 employees which consisted of 152 LTBB Tribal Citizens, 58 Other Tribal Members and 344 Non-Tribal. These figures represent the Odawa Casino Resort and Hotel, LTBB Gaming Regulatory and the Gaming Enterprise Board Licensees. Total License Fee revenue was \$68,120, total Vendor Fee revenue was \$25,000 for a Grand Fee total of \$93,120. There were 10 scheduled hearings with 2 cancellations by the

applicants. Eight (8) hearings were held with 1 Suspension, 4 Waivers Approved, 3 Decisions Approved with 0 denials. One (1) Gaming License was a Revoked after a Suspension Hearing

#### **Internal Audit Information:**

- Completed multiple employee audits
- Completed 2016 Gaming Promotions and Players Tracking Audit #2016-023
- Completed 2016 Gaming Machine Audit #2016-020
- Completed 2016 Bingo Audit (EBT Class II Facility) #2016-021
- Completed the Internal Audit Checklist for BDO Auditor Heather Phillips for her annual compliance audit.
- Discussions were held with Table Games Director and Assistant Table Games Director to changes with Counts Kustoms game.

#### **Gaming Inspector's Review**

- New Machines In - 23
- Machines Out - 11
- New Software Received - 8, Refused - 0
- Seals - New 90, Broke 111
- Investigations - 5
- Software Verifications - 47
- Machines worked on - 168
- Card / Dice Inspections - 1
- Bill Tests - 31

#### **Internal Audit End of Year Statistics 2016**

- Notice of Violations Issued - 3
- TMICS Violations Issued - 4
- Notice of Concerns Issued - 2
- Internal Audits Completed and Submitted - 23 (16 required TMICS, 7 Extraneous)
- Audit Follow Ups performed - 3 (2 from 2015, 1 from 2016)
- Machine Shipments:
  - 18 New Shipments
  - 201 New Machines total
  - 10 Outgoing Machine Shipments
  - 687 Total Machines Moved
  - 755 Software Shipments Received
  - 0 Software Shipments Refused
- Machine Work:
  - 817 Machines
  - 564 Seals Broken
  - 609 New Seals Used
  - 285 RAM Cleared
  - 50 Software/System Upgrades
  - 69 Conversions
  - 1 Hold Change
  - 346 Software Verification

- 1 Sign Verification
- 120 Bill Test
- 8 Jackpots over \$50,000
- Cards and Dice:
  - 2 Card Inspections
  - 1 Dice Inspections
- Miscellaneous:
  - 59 Drawers Observed
  - 28 Employee Audits

We now have a full five member commission of which 2 members are fully NIGA Certified and the other 3 are working on their NIGA certification series.

**Submitted by:** GRC Chairman David Dominic

A handwritten signature in black ink, appearing to be 'DD' or similar initials, located to the right of the text 'Submitted by: GRC Chairman David Dominic'.